

CURRICULUM VITAE

FRANCINE R. FRANKEL

November 2011

EDUCATION

The University of Chicago, Ph.D., 1965

Johns Hopkins School of Advanced International Studies, M.A., 1958

The City College of New York, B.A., 1956

ACADEMIC POSITIONS

Department of Political Science, University of Pennsylvania, Assistant Professor, 1965-70;
Associate Professor, 1970-79; Professor, 1979-

Founding Director, Center for the Advanced Study of India (CASI), School of Arts and Sciences,
University of Pennsylvania, 2006-

Madan Lal Sobti Professor of Contemporary Indian Studies, 2003-06

Director, Center for the Advanced Study of India, 1992-2006

RESEARCH APPOINTMENTS

Fellow, Asia Program, Woodrow Wilson International Center for Scholars, Washington, D.C.,
2006-07; and 1997-98

Research Fellow, Jawaharlal Nehru Memorial Museum and Library, New Delhi. 1990-92.

Visiting Scholar, Department of Sociology, Delhi School of Economics, University of Delhi,
January 1984-June 1985.

Visiting Member, The Institute for Advanced Study, Princeton, Spring 1976.

Research Associate, Research Program in Economic Development, Princeton University, 1973-4

Research Fellow, Center for International Studies, Princeton University, 1969-73.

GRANTS AND AWARDS (exclusive of grants for general support and programs of CASI)

Residential Fellowship Award, Woodrow Wilson International Center for Scholars, 2006-07; and 1997-98.

Advanced Research Fellowship in Foreign Policy Studies, Social Science Research Council, 1990, 1991

Research Foundation, University of Pennsylvania Award, 1990-91, 1988-89, 1987-88.

American Philosophical Society Research Grant, 1987-88.

Smithsonian Institution Grant for international collaborative project in the social sciences, "Dominance and State Power in India", May 1983-June 1986.

Senior Scholar Award, Committee for Scholarly Communication with the People's Republic of China, Spring 1985.

Ford Foundation grant for international conference on "Status, Class and Dominance in Modern India," University of Pennsylvania, May 3-11, 1984.

Senior Research Fellow, American Institute of Indian Studies, New Delhi, 1979-80.

American Philosophical Society Research Grant, 1976.

Resident Scholar, Bellagio Study and Conference Center, The Rockefeller Foundation, Spring 1975.

Ford Foundation Faculty Research Grant in Political Science, 1972-73.

GRANTS AWARDED TO ME FOR ESTABLISHING CASI AND FOR CASI PROGRAMS
(selective)

General Support

Ministry of External Affairs and Ministry of Finance, Government of India, grant toward the establishment of CASI, 1992, \$50,000

The Ford Foundation, New York, planning grant, 1992, \$50,000

The Ford Foundation, General Support, 1993, \$500,000

The Ford Foundation, General Support, 1995, \$500,000

The Ford Foundation, General Support, 2000, \$250,000

J.P. Morgan Foundation, General Support, 2000, \$20,000

Research Project Support

Ford Foundation, National Council of Applied Economic Research (NCAER), New Delhi and CASI, to obtain access to household survey data for joint project on “Demographic Consequences of Agricultural, Technological Innovation, 1992, \$25,000

National Science Foundation, NCAER-CASI collaboration, “Demographic Consequences of Agricultural, Technological Innovation, 1993-96, Principal Investigators: Professors Mark Rosenzweig and Prem S. Vashishtha, Director, NCAER, 1993 \$51,997

National Institutes of Health, NCAER-CASI collaboration, “Demographic Consequences of Agricultural, Technological Innovation, 1993-96, Principal Investigators, Professor Mark Rosenzweig and Prem S. Vashishtha, Director, NCAER 1993 \$751,280

J.Paul Getty Trust, CASI-University of Rajasthan collaboration, “Patronage and Pilgrimage Temples in Western India, July 1996-June 1998, Principal Investigator, Professor Michael W. Meister, 1996 \$125,700

National Institutes of Health (1999-2003), Continuation Grant NIH 1993, Effects of Economic Development on Demographic Change, Principal Investigator: Professor Mark Rosenzweig, 1999 \$1,789,440

Dialogues and Conferences

Research Development Thrust Fund, University of Pennsylvania
CASI-Centre for Policy Research, New Delhi
Collaborative Research: Conference on Federalism in Diverse Societies, 1992 \$25,000

McKinsey and Company
CASI-Tata Energy Research Institute (TERI), New Delhi Collaborative Workshop:
ASCEND: Attaining Sustainable Culture- Enabling Norms of Development, 1995 \$10,000

The W. Alton Jones Foundation Secure Society Program, “The Future of Nuclear Weapons: A US-India Dialogue”, 1996 \$65,000

U.S. Department of Energy, “The Future of Nuclear Weapons: A U.S.-India Dialogue, 1996 \$25,000

The Ford Foundation, New Delhi, Conference on “Democracy and Transformation: India Fifty Years After Independence, 1997, India International Centre, New Delhi \$45,111

GE Fund, Thematic Seminar on Second Generation Economic Reforms in India, 2002 \$55,000

Co-sponsors, CASI, Konrad Adenauer Foundation, New Delhi and Forum of Federations, Ottawa, Conference on “Transforming a Federation: India’s Experience, January 16-19, 2003, India International Centre, New Delhi \$9,171

Fellowships

The Ford Foundation, Graduate Student Research Fellowships, 1994 \$74,800

C.V.Starr Foundation, Fellowships (for high potential Indian journalists) in U.S. Economy and Governance, 1998 \$250,000

CASI Publication

GE Fund, Support for biannual CASI publication, *Doing Business in India: Political, Social and Cultural Overview*, 1996, and renewals 1999 and 2002 \$215,000

UNIVERSITY SERVICE (selective)

Director, Center for the Advanced Study of India, 1992-2006

Member, South Asia Studies Graduate Group

Member, Lauder International Studies Graduate Group

Member, Personnel Committee, Department of Political Science, 2001-02

Member, Search Committee, AMES, position in Sanskrit, 2001-02

Member, University Committee on Academic Freedom and Responsibility, 1998-2000.

Graduate Executive Committee, Department of Political Science, 1996-97.

Member, Ad Hoc Committee on Graduate Education, Department of Political Science, 1993-94.

Member, School of Arts and Sciences Personnel Committee, 1986-88; Chair, Sub-Panel on Social Sciences, 1987-88.

Chair, Political Science Graduate Program, 1980-83.

Member, Provost’s Search Committee for Dean of the Wharton School, 1982-83.

Member, Provost’s Search Committee for Chair of the Political Science Department, 1982-83.

Member, Board of Directors, Middle East Research Institute, 1982-83.

Member, Faculty of Arts and Sciences Personnel Committee, 1980-82.

PROFESSIONAL ACTIVITIES (selective)

Member, Council on Foreign Relations.

General Editor (with Zoya Hasan and Kanti Bajai), *Critical Issues in Indian Politics*, book series, (New Delhi: Oxford University Press), 2006-

Conference Organizer and Participant, “Power Realignment in Asia, A U.S.-India Policy Dialogue”, A Joint Program of the Center for the Advanced Study of India and the Observer Research Foundation, New Delhi, December 14-17, 2006

Member, Advisory Committee, Council on Foreign Relations, “Special Report on US-India Nuclear Deal”, April-May 2006

Editor, “India in Transition: Economics and Politics of Change” biannual publication of the Center for the Advanced Study of India, 2000-2006.

Member, Board of Directors, Holdeen India Fund, 1994-2001

Founding Member and Life Member, University of Pennsylvania Institute for the Advanced Study of India (UPIASI); Member, Governing Council, UPIASI, 1995-2006

Member, Executive Committee, The Philadelphia Committee on Foreign Relations, 2001-

Member, Independent Task Force on India and South Asia, Council on Foreign Relations, 2001-2002

Member, Executive Committee, Project on Tracking the Strategic Environment in the Asia-Pacific Region, The National Bureau of Asian Research, Seattle, 2000-2005

Member, Independent Task Force on South Asia, co-sponsored by the Brookings Institution and the Council on Foreign Relations, 1999-2000.

Member, Brookings Institution India/South Asia Roundtable, 1999

Member, “Independent Task Force on Responding to the Indian and Pakistani Nuclear Tests”, The Brookings Institution, Summer 1998

Member, Advisory Committee, Center for India-U.S. Education, The Asia Society, 1991-94.

Member, Study Group on Indo-American Relations, Carnegie Endowment for International Peace, 1991-92.

Member, Task Force on Non-Proliferation and South Asian Security, Carnegie Endowment for International Peace, 1986-87.

Member, Ford Foundation's South Asia Specialists Delegation to China, January 1986.

Member, Editorial Committee, WORLD POLITICS, 1980-83.

Member, American Political Science Association Council, 1980-82.

Member-at-Large, Commission on International Relations, National Academy of Sciences, 1973-79.

INVITED PRESENTATIONS AND LECTURES (selective)

"Getting the Growth Rate Up: Impacts on India's Social and Economic Stability," Public Lecture, India Institute of Management, IIM-Bangalore, India, December 23, 2009

"Indian Outlook", Paper presented to Conference on Engaging China and India: Security, Stability and the Global Economy," The Contemporary History Institute, Ohio University, April 3, 2009

"Overview of the Crisis", Paper presented at meeting on "Challenges in South Asia-Security, Governance and Identity/Cultural Issues" American Council on Education Global Symposium, Celebrating 50 Years of the International and Foreign Language International Programs of Title VI & Fulbright-Hays, Washington, D.C., March 18, 2009

"Next Generation Indian Leaders," CENTRA Technology, Inc., March 21, 2008.

"Prospects for the U.S.-India Nuclear Deal," Plenary Session, Association of Asian Studies, University of Wisconsin, Madison, October 13, 2007.

Fourth Special Lecture, "Indo-U.S. Relations", Association of Asian Scholars and India International Centre, Special Lecture Series, November 16, 2007, New Delhi.

"India's Two Economies: Pathologies of Government Policies", Talk to Joint World Bank-I.M.F. India Group, Washington, D.C., April 26, 2007.

"Security", Symposium on U.S-India Strategic Relations. The MacMillan Center for International and Area Studies, Yale University, April 13, 2007.

"Different Worlds: The United States and India in Asia during the Nehru Years," Public Lecture and webcast, Woodrow Wilson International Center for Scholars, March 21, 2007

"India's Political Economy: A Different Approach", Centre for Political Studies, Jawaharlal Nehru University, New Delhi, February 8, 2006

Testimony before the International Relations Committee of the U.S. House of Representatives, “India’s Potential Importance for Vital U.S. Geopolitical Objectives in Asia: A Hedge Against a Rising China?” November 16, 2005

“India Goes Global”, Philadelphia Committee of Foreign Relations, May 10, 2005

“India’s Political Economy”, India International Centre, New Delhi, February 28, 2005

Discussant, Roundtable, “The India-China Relationship: What the United States Needs to Know,” Association for Asian Studies Annual Meeting, Washington, D.C., April 5, 2002

Lecture, “Implications of the Post Taliban Scenario on Relations between India, Pakistan, China and the United States,” DELHI POLICY GROUP, New Delhi, March 22, 2002

Speaker, Policy Conference, “The India-China Relationship: What the United States Needs to Know”, ‘Implications for the United States’, Woodrow Wilson International Center for Scholars, Washington, D.C., November 30, 2001

Lecture, “India’s Evolution as a Rising Power”, ‘Workshop on US Policy Toward India, International Security and Foreign Policy Program’, Smith Richardson Foundation, June 27, 2001

Lecture, ‘Understanding India: The Role of Foreign Scholars’, India International Center, New Delhi, March 19, 2001

Keynote Speech, “The World of Indian Policymakers”, 2000 Outreach Meeting, The Aspen Institute, Wye River Conference Center, May 5, 2000

Lecture, “Current Politics of South Asia”, Asia Pacific Security Policy Breakfast Series, School of Advanced International Studies, Washington, D.C., December 16, 1999

Participant, Conference on Preventive Diplomacy and Preventive Defense, co-sponsored by The Carnegie Commission on Preventing Deadly Conflict and the Stanford-Harvard Preventive Defense Project, Stanford University, January 15-16, 1999

Panelist, “India’s Elections and Policy Implications for the United States”. Woodrow Wilson International Center of Scholars, April 21, 1998

Paper, “Different Worlds: Foreign Policy-making in India and the United States”, Woodrow Wilson Center of International Scholars, April 2, 1998

Panelist, “New Evidence and Perspectives on the Vietnam War,” Cold War International History Project, Woodrow Wilson Center of International Scholars, March 26, 1998

Paper, “Indo-American Relations: Parameters of the ‘New Partnership’”, Conference on “Rethinking India’s Role in the World”, International Institute for Strategic Studies, Neemrana Fort, Rajasthan, India, September 11-18, 1997

Panelist, “The Nuclear Question in South Asia”, Arms, Security and Development in South Asia, an international seminar organized by Jawaharlal Nehru University in cooperation with Economists Allied for Arms Reduction, New Delhi, January 15-16, 1996

Participant, Conference on “India: The Future,” The American Ditchley Foundation, Ditchley Park, Oxfordshire, England, June 19-21, 1992.

Participant, Workshop on “Economic Reform and Political Stability in India,” Council on Foreign Relations, May 15, 1992.

Delegate, Third Indo-U.S. Strategic Symposium, jointly sponsored by the Institute for National Strategic Studies, National Defense University, Washington, D.C. and the Institute for New Delhi, Defense Studies and Analyses, New Delhi, Airlie House, Virginia, April 21-23, 1992.

Panelist, “Current Pacific Security Challenges,” 1992 Pacific Symposium, sponsored by National Defense University in cooperation with International Security Affairs and U.S. Pacific Command, National Defense University, Washington, D.C., February 27-28, 1992.

Participant, Third Indira Gandhi Conference on “The Challenges of the Twenty First Century,” New Delhi, November 19-22, 1991.

Paper, “Consequences of Economic Liberalization for Internal Conflict and Policy Cohesion in India,” SSRC Committee on Foreign Policy Studies, LaJolla, California, March 15, 1991.

Lecture, “A Critique of the Current Situation in India,” Jawaharlal Nehru Museum and Library Seminar, New Delhi, May 14, 1991.

Panelist, “India Threatened: What Does the Future Hold?” A Symposium, The Asia Society, June 25, 1991.

Paper, “New Patterns of Conflict in Modern India,” The Center for International Affairs, Harvard University, October 20, 1989.

Lecture, “India’s Democracy: Changing Relations of Dominance and State Power,” India-China Seminar, Fairbank Center, Harvard University, April 22, 1988.

Paper, “Avoiding Social Revolution: Caste, Class and Peasant Mobilization in India’s Nationalist Movement, India-China Seminar, Fairbank Center, Harvard University, November 20, 1987.

Lecture, “Conceptual Issues in the Study of Caste, Class and Dominance,” Center for International Studies, Cornell University, November 5, 1986.

Guest of Honor, Conversation, "Time for a U.S.-India Policy," Carnegie Council of Ethics and International Affairs, October 22, 1986.

TEACHING AND RESEARCH INTERESTS

The Political Economy of Development
Society and Politics in India
International Security Studies
International Relations of the United States and Asia
India, China, Pakistan

WORK IN PROGRESS

Origins of Strategic Rivalry in Asia: India, America and China during the Early Cold War

PUBLICATIONS

Books

India's Political Economy, The Gradual Revolution, 1947-2004, second edition, updated with 200 pages of new text (New Delhi: Oxford University Press, 2005)

The India-China Relationship: What the United States Needs to Know, co-editor and contributor, (Washington, D.C. and New York: Woodrow Wilson Center Press and Columbia University Press, 2004)

Transforming India, Social and Political Dynamics of Democracy, ed., et al and contributor. (New Delhi: Oxford University Press, 2000)

Bridging the Nonproliferation Divide, The United States and India, ed. (University Press of America, 1995)

Dominance and State Power in Modern India, Decline of a Social Order, ed., with M.S.A. Rao, and contributor. Volume I (New Delhi: Oxford University Press, 1989) paperback edition, 1993.

Dominance and State Power in Modern India, Decline of a Social Order, ed, with M.S.A. Rao, and contributor. Volume II (New Delhi: Oxford University Press, 1990)

India's Political Economy 1947-1977: The Gradual Revolution, (Princeton: Princeton University Press, 1978; South Asian Edition, (New Delhi: Oxford University Press, 1979). Chinese translation by Yang Ruilin, Sun Peijun, Mei Zhi, and Liu Chungyuan, with updated Introduction, (Beijing: Chinese Academy of Social Sciences, 1990)

India's Green Revolution: Economic Gains and Political Costs (Princeton: Princeton University Press, 1971), South Asian Edition, (New Delhi: Oxford University Press, 1971).

Articles and Chapters in Books

“The Breakout of India-China Strategic Rivalry”, *Journal of International Affairs*, Spring-Summer, 2011, Volume 64, Number 2, 1-17

“Emerging Equations”, Special Section on AMERICA’S INDIAN AGENDA, “*India and Global Global Affairs*, New Delhi, January-March 2009, 042-047

“Contextual Democracy: Intersections of Society, Culture and Politics in India” in Frankel et al, *Transforming India, Social and Political Dynamics of Democracy*, (New Delhi: Oxford University Press, 2000) pp. 1-25.

“Indo-U.S. Relations: The Future is Now,” *The Washington Quarterly*, 19:4, 1996 pp. 129-148.

“Bridging the Nonproliferation Divide,” with Deepa Ollapally, in Francine R. Frankel, ed. *Bridging the Nonproliferation Divide* (University Press of America, 1995), pp.341-358.

“India’s Post-Cold War Perspective” in Ralph A. Cossa, ed., *The New Pacific Security Environment* (Washington, D.C.: National Defense University Press, 1993) pp.145-54.

“India’s Democracy in Transition, The Search for a New Consensus,” *World Policy Journal*, Summer 1990, 521-55.

“Preface” and concluding chapter “Decline of a Social Order” in Francine R. Frankel and M.S.A. Rao, eds., *Dominance and State Power in Modern India, Decline of a Social Order*, Volume II (Oxford University Press, 1990) pp.xi-xxvii, 482-517.

“Introduction” and chapter on “Caste, Land and Dominance in Bihar: Breakdown of the Brahmanical Social Order,” in Francine R. Frankel and M.S.A. Rao, eds., *Dominance and State Power in Modern India, Decline of a Social Order*, Volume I (Oxford University Press, 1989) pp.1-20, 46-132.

“Middle Classes and Castes in India’s Politics: Prospects for Political Accomodation,” in Atul Kohli, ed., *India’s Democracy: An Analysis of Changing State-Society Relations* (Princeton University Press, 1988) pp. 225-261.

“Politics: The Failure to Rebuild Consensus,” in Marshall M. Bouton, ed., *India Briefing 1987* (The Asia Society and Westview Press, 1987) pp.25-48.

“India: Has the Trend Toward Federalism Implications for the Management of Foreign Policy? A Comparative Perspective,” with Douglas V. Verney, *International Journal* (Canadian Institute of International Affairs) Volume XLI, No. 3, Summer 1986, pp.572-99.

“Play the India Card,” *Foreign Policy*, 62, Spring 1986, pp.148-66.

“Religio-Cultural Values, Political Gradualism and Economic Development in India,” in James Finn, ed., *Global Economics and Religion*, (New York: Transaction Books, 1983) pp.35-65. Reprinted in *South Asian Studies* (Southern Asian Institute of the Chinese Academy of Social Sciences) No.1, 1982.

“India’s Promise,” *Foreign Policy*, 28, Spring 1980, pp.51-66.

“Compulsion and Social Change: Is Authoritarianism the Solution to India’s Economic Development Problems?” *World Politics*, January 1978, pp.215-40. Reprinted in Atul Kohli, ed., *The State and Development in the Third World* (Princeton University Press, 1986).

“Problems of Correlating Electoral and Economic Variables: An Analysis of Voting Behavior and Agrarian Modernization in Uttar Pradesh” in Myron Weiner and John Osgood Field, eds., *Electoral Politics in the Indian States*, Volume III, “The Impact of Modernization” (New Delhi, Manohar Press Service, 1977) pp.149-93.

“The Politics of the Green Revolution: Shifting Patterns of Peasant Participation in India and Pakistan,” in Thomas T. Poleman and Donald K. Freebairn, eds., *Food, Population and Employment: The Impact of the Green Revolution*, (Praeger, 1973) pp.120-151.

“India’s New Strategy of Agricultural Development: Political Costs of Agrarian Modernization,” *The Journal of Asian Studies*, Vol. XXVIII (August 1969) pp.693-710.

“Democracy and Political Development: Perspectives from the Indian Experience,” *World Politics*, Vol. XXI (April 1969) pp. 448-468.

“Ideology and Politics in Indian Planning: The Problem of Indian Agricultural Development Strategy,” *World Politics*, Vol. XIX (July 1967) pp.621-645.