

## CURRICULUM VITAE

**ROGERS M. SMITH**

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## PERSONAL:

Born Sept. 20, 1953. U.S. Citizen. Married, three children, two grandchildren.  
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## EDUCATION:

**Ph.D.**, Political Science, Harvard University, 1980 (**M.A.**, 1978).  
**B.A.**, High Honors, Political Science, James Madison College, Michigan State University  
 (Class of 1975; graduated December, 1974).  
 Attended University of Kent, Canterbury, UK, 1973-1974.

## POSITIONS:

**Associate Dean for the Social Sciences**, University of Pennsylvania School of Arts and Sciences, 2014 to 2018.  
**Director**, Penn Program on Democracy, Citizenship, and Constitutionalism (now the Andrea Mitchell Center for the Study of Democracy), 2006 to 2017.  
**Chair**, Department of Political Science, University of Pennsylvania, 2003-2006.  
**Christopher H. Browne Distinguished Professor of Political Science**, University of Pennsylvania, 2001 to date.  
**Honorary Professor**, Department of Political Science, University of Copenhagen, 2012-2018.  
 Affiliated Faculty, University of Pennsylvania School of Law Center for Ethics and the Rule of Law, 2013 to date.  
**Secondary Appointment**, Africana Studies Department, 2011 to date.  
**Secondary Appointment**, University of Pennsylvania Graduate School of Education, 2009 to date.  
**Alfred Cowles Professor of Government**, Yale University, 1999 to 2001.  
**Co-Director**, Yale ISPS Center for the Study of Race, Inequality, and Politics, 1995 to 2001.  
**Director of Graduate Studies**, Political Science, Yale University, 1997 to 1999.  
**Chair**, Yale Program in Ethics, Politics, and Economics, 1989 to 1991.  
**Professor**, Political Science, Yale University, 1989 to 2001.  
**Director of Undergraduate Studies**, Political Science and Economics and Political Science Majors, Yale University, 1986 to 1988.  
**Associate Professor**, Political Science, Yale University, 1985 to 1989.  
**Assistant Professor**, Political Science, Yale University, 1980 to 1985.

**MAJOR PUBLICATIONS:****BOOKS:**

That Is Not Who We Are! Populism and Peoplehood (Yale University Press, 2020).

Political Peoplehood: The Roles of Values, Interests, and Identities (University of Chicago Press, 2015).

Still a House Divided: Race and Politics in Obama's America (with Desmond S. King) (Princeton University Press, 2011).

Stories of Peoplehood: The Politics and Morals of Political Memberships (Cambridge University Press, 2003).

The Unsteady March: The Rise and Decline of Racial Equality in America (with Philip A. Klinkner) (University of Chicago Press, 1999).

Civic Ideals: Conflicting Visions of Citizenship in U.S. History (Yale University Press, 1997).

Citizenship without Consent: the Illegal Alien in the American Polity (with Peter H. Schuck) (Yale University Press, 1985).

Liberalism and American Constitutional Law (Harvard University Press, 1985; rev. ed., 1990).

**EDITED BOOKS:**

Modern Constitutions (edited with Richard Beenman) (University of Pennsylvania Press, 2020).

Representation: Elections and Beyond (edited with Jack H. Nagel) (University of Pennsylvania Press, 2013).

Varieties of Sovereignty and Citizenship (edited with Sigal R. Ben-Porath) (University of Pennsylvania Press, 2012).

Citizenship, Borders, and Human Needs (University of Pennsylvania Press, 2011).

Problems and Methods in the Study of Politics (edited with Ian Shapiro and Tarek Masoud) (Cambridge University Press, 2004).

**MAJOR ESSAYS:**

“Legal Civic Orders and Equitable Lived Citizenships,” American Political Science Review, doi:10.1017/S000305542100068X (2021).

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“Toward Progressive Narratives of American Identity,” Polity 52(3): 370-383 (2020).

“White Protectionism in America,” with Desmond S. King, Perspectives on Politics, 2020, DOI: <https://doi.org/10.1017/S1537592720001152>.

“Presidential Address: What Good Can Political Science Do? From Pluralism to Partnerships,” Perspectives on Politics 18(1): 10-26 (2020).

“Citizenship and Membership Duties Toward Quasi-Citizens,” in Oxford Handbook of Citizenship, ed. Ayelet Shachar, Rainer Bauböck, Irene Bloemraad, and Maarten Vink (Oxford: Oxford University Press, 2017), 817-837; online publication September 2017, DOI: 10.1093/oxfordhb/9780198805854.013.35.

“The Unresolved Constitutional Issues of Puerto Rican Citizenship,” CENTRO: Journal of the Center for Puerto Rican Studies 29(1): 26-53 (2017).

“Rethinking ‘Cure’ and ‘Accommodation,’” in Disability and Political Theory, ed. Barbara Arneil and Nancy J. Hirschmann (New York: Cambridge University Press, 2016) (with Nancy J. Hirschmann), 263-284.

“The ‘Liberal Tradition’ and American Racism,” in Oxford Handbook of Racial and Ethnic Politics in the United States, ed. David L. Leal, Taeku Lee, and Mark Sawyer (Oxford: Oxford University Press, 2016), DOI:10.1093/oxfordhb/9780199566631.013.13.

“Lincoln and Obama: Two Visions of American Civic Union,” in Representing Citizenship, ed. Richard Marback (Detroit: Wayne State University Press, 2016), 17-51.

“The Progressive Seedbed: Claims of American Political Community in the 20<sup>th</sup> and 21<sup>st</sup> Centuries,” in The Progressives’ Century: Political Reform, Constitutional Government, and the Modern State, ed. Stephen Skowronek, Stephen M. Engel, and Bruce A. Ackerman (New Haven: Yale University Press, 2016), 264-288.

“The Last Stand? *Shelby County v. Holder* and White Political Power in Modern America,” (with Desmond S. King), Du Bois Review 13(1): 25-44 (2016).

“American Cities and American Citizenship,” in Urban Citizenship and American Democracy, ed. Amy B. Bridges and Michael Javen Fortner (Albany, NY: State University of New York Press, 2016), 211-222.

“Political Science and the Public Sphere Today,” Perspectives on Politics 13(2): 366-376 (2015).

“The Questions Facing Citizenship in the 21<sup>st</sup> Century,” in The Meaning of Citizenship, ed. Richard Marback and Marc W. Kruman (Detroit: Wayne State University Press, 2015), 12-23.

“The Insular Cases, Differentiated Citizenship, and Territorial Statuses in the Twenty-First Century,” in Reconsidering the Insular Cases: The Past and Future of the American Empire, ed. Gerald Neuman and Tomika Brown-Nagin (Cambridge, MA: Harvard Law School Human Rights Series, Harvard University Press, 2014), 103-128.

“‘Without Regard to Race’: Critical Ideational Development in Modern American Politics” (with Desmond S. King), Journal of Politics 76(4): 958-971 (2014).

“Nation-Building Narratives: Implications for Immigrants and Minorities” (with Meral Ugur-Cinar), in The Nation State and Immigration, Vol. III: The Age of Population Movements, ed. Anita Shapira, Yedidia Z. Stern, Alexander Yakobson and Liav Orgad (Brighton, UK: Sussex Academic Press and Israel Democracy Institute, 2014), 1-29.

“National Obligations and Non-Citizens: Special Rights, Human Rights, and Immigration,” Politics & Society 42(3): 381-398 (2014) (reprinted in Citizenship and Constitutional Law, ed. Jo Shaw, Cheltenham, UK: Edward Elgar Publishing, 2015).

“Ackerman’s Civil Rights Revolution and Modern American Racial Politics,” Yale Law Journal 123: 2906-2940 (2014).

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“Differentiated Citizenship and the Tasks of Reconstructing the Commercial Republic,” Journal of Social Philosophy 41: 214-222 (2010).

“Constitutional Democracies, Coercion, and Obligations to Include,” in The Limits of Constitutional Democracy, ed. Jeffrey Tulis and Stephen Macedo (Princeton: Princeton University Press, 2010), 280-296.

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Science,” in Problems and Methods in the Study of Politics, ed. Ian Shapiro, Rogers Smith, and Tarek Masoud (Cambridge University Press 2004), pp. 42-66.

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“Participation et subordination: les deux faces de la citoyenneté fédérée aux États-Unis,” Critique Internationale 21: 173-187 (2003).

“Black and White after *Brown*: Constructions of Race and Modern Supreme Court Decisions,” University of Pennsylvania Journal of Constitutional Law 5: 709-733 (2003).

“Grasping the ‘Invisible Hands’: Race and Political Theory Today,” in Racial Liberalism and the Politics of Urban America, ed. C. Stokes and T. Meléndez (Michigan State University Press, 2003), pp. 73-151.

“‘Black’ and ‘White’ in *Brown*: Equal Protection and the Legal Construction of Racial Identities,” Issues in Legal Scholarship, “The Origins and Fate of Antisubordination Theory: Article 16, <http://www.bepress.com/ils/iss2/art16>, 2003.

“Nations civiques, sociétés libérales: les risques normatifs des catégories empiriquement vides,” Raisons politiques 8: 131-148 (2002).

“Introduction: Stories of Peoplehood in the Global Age,” in “We the People” in the Global Age: Re-examination of Nationalism and Citizenship, ed. R. Oshiba, E. Rhodes, and C. K. Otsuru (JCAS Symposium Series 18, Japan Center for Area Studies, 2002), pp. 1-23.

“Bush v. Gore,” Common-Place: The Interactive Journal of Early American Life, <http://www.common-place.org/vol-02/no-04/roundtable/smith.html> (2002).

“The Distinctive Barriers to Gender Equality,” in Has Liberalism Failed Women? Parity, Quotas, and Equal Representation, ed. C. S. Maier and J. Klausen (St. Martin's Press, 2001), pp. 185-199.

“The Bitter Roots of Puerto Rican Citizenship,” in Foreign in a Domestic Sense, ed. C. D. Burnett and B. Marshall (Duke University Press, 2001), pp. 373-388.

“Citizenship and the Politics of People-Building,” Journal of Citizenship Studies 5: 73-96 (2001).

“America’s Contents and Discontents: Reflections on Michael Sandel’s America,” Critical Review 13: 73-96 (1999).

“Beyond Morone, McWilliams, and Eisenach? The Multiple Responses to Civic Ideals,” Studies in American Political Development 13: 230-244 (1999).

“Legitimizing Reconstruction: The Limits of Legalism,” Yale Law Journal 108: 2039-2075



(1999).

“Liberalism and Racism: The Problem of Analyzing Traditions,” in The Liberal Tradition in American Politics: Consensus, Polarity, or Multiple Traditions?, ed. D.F. Ericson and L. B. Green (Routledge Publishing Co., 1999), pp. 9-27.

"The Unsteady March toward Racial Equality" (with Philip A. Klinkner), in The African-American Predicament, ed. C. H. Foreman, Jr. (Brookings Institution, 1999), pp. 152-174. [Also in revised form in IMIS-Beitraege 16: 65-84 (Osnabruck, Germany; 2000)].

"Toward a More Perfect Union: Beyond Old Liberalism and Neo- Liberalism," in Without Justice for All: The New Liberalism and Our Retreat from Racial Equality, ed. A. Reed, Jr., (Westview Press, 1999), pp. 327-352.

"Constructing American National Identity: Strategies of the Federalists," in Federalists Reconsidered, ed. D. Ben-Atar and B. Oberg (University of Virginia Press, 1999), pp. 20-40.

“The Policy Challenges of American Illiberalism,” Occasional Paper #2, International Migration Policy Program, Carnegie Endowment for International Peace, 1998, pp. 3-36.

"The Inherent Deceptiveness of Constitutional Discourse: Diagnosis and Prescription," Nomos XL: Integrity and Conscience, ed. I. Shapiro and R. Adams (New York University Press, 1998), pp. 218-254.

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### **OTHER PUBLICATIONS:**

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#### PROFESSIONAL HONORS AND FELLOWSHIPS:

##### Teaching Honors:

University of Pennsylvania's Provost Award for Distinguished PhD Teaching and Mentoring, 2014.

University of Pennsylvania Pi Sigma Alpha Chapter's Henry Teune Award for Undergraduate Teaching, 2011.

Lindback Award for Distinguished Teaching, University of Pennsylvania, 2009.

Dean's Award for Mentorship of Undergraduate Research, University of Pennsylvania, 2008.

Yale College Distinguished Undergraduate Teaching Prize, 1984.

Supervisor of Ph.D. thesis (by Joanna Wuest) awarded the 2019 Kenneth Sherrill Dissertation Prize of the American Political Science Association,

Supervisor of Ph.D. thesis (by Justin Wert) awarded the 2006 Edward S. Corwin Dissertation Prize of the American Political Science Association.

Supervisor of Ph.D. thesis (by Naomi Murakawa) awarded the 2006 “Best Dissertation Prize” of the Law and Society Association and a 2006 “Best Dissertation Prize” by the Race, Ethnicity and Politics Section of the American Political Science Association.

Supervisor of Ph.D. thesis (by Sarah Song) awarded a 2004 “Best Dissertation Prize” by the Women and Politics Section of the American Political Science Association.

Supervisor of Ph.D. thesis (by Dara Strolovitch) awarded the 2002 “Best Dissertation Prize” by the Race, Ethnicity and Politics Section of the American Political Science Association and the 2003 Association for Research on Nonprofit Organizations and Voluntary Action (ARNOVA) Gabriel Rudney Dissertation Award.

Supervisor of Ph.D. thesis (by Lisa Garcia-Bedolla) awarded a 2000 "Best Dissertation" Prize by the Race, Ethnicity and Politics Section of the American Political Science Association.

Supervisor of Ph.D. thesis (by Mark Graber) awarded the 1989 Edward S. Corwin Dissertation Prize of the American Political Science Association.

Dissertation committee member for 7 Ph.D. theses receiving APSA prizes (by Michael Ebeid, Andrew Koppelman, Grant Reher, Yossi Shain, Peter Berkowitz, Claire J. Kim, and Matthew Berkman).

Supervisor or second advisor of 3 graduate student essays awarded APSA Law & Courts Section prizes (by Jonathan Stein, Andrew Koppelman and Michael Ebeid)

Dissertation committee member of Ph.D. thesis (by Mark S. Weiner) awarded the 2000 President's Book Award of the Social Science History Association and a 1999 “Honorable Mention” Dissertation Award by the Law and Society Association.

Supervisor of Yale College Senior essay (by Jeannie Rhee) awarded the 1994 Hughes-Gossett Prize of the Supreme Court Historical Society.

Supervisor of 44 Ph.D. dissertations, 27 published as books to date. Committee member on another 85 Ph.D. dissertations, 38 published as books to date.

Recently, and commendably, some scholars have begun to list their undergraduate senior essay advisees and their prizes on their CVs. Regrettably, I have not kept records permitting me to do so. I have supervised between two and four senior essays most years that I have taught, and many have won prizes.

#### Scholarship Honors:

Member, American Philosophical Society (elected 2016)

2012 “CHOICE Outstanding Academic Title” (for Still a House Divided).

Fellow, American Academy of Political and Social Science (elected 2011).

Co-Recipient, 2010 Frank J. Goodnow Award, American Political Science Association (for service to the profession of political science).

Hepburn-Shibusawa Distinguished Senior Lecturer, University of Tokyo Law School, 2010.

Co-Recipient, 2005 “Best Special Issue Award,” Council of Editors of Learned Journals, for “Civil Liberties in the Brave New World of Antiterrorism” in the “Homeland Security” Special Issue of Radical History Review 93: 170-85.

Fellow, American Academy of Arts and Sciences (elected 2004).

2004 Wadsworth Publishing Award, Law and Courts Section, American Political Science Association (recognizing a book or article 10 years or older that has had lasting influence on the field; for “Political Jurisprudence, the ‘New Institutionalism,’ and the Future of Public Law” [1988]).

Phi Beta Kappa Visiting Scholar, 2002-2003.

2001 David Easton Award, Foundations of Political Theory Section, American Political Science Association (for Civic Ideals)

2000 Horace Mann Bond Book Award, W. E. B. Du Bois Institute, Harvard University (for The Unsteady March).

2000 Semi-Finalist, Robert F. Kennedy Book Award (for The Unsteady March).

1999 American Library Association "Best of the Best" Selection (for The Unsteady March).

1999 Merle Curti Intellectual History Award, Organization of American Historians (for Civic Ideals).

1998 Ralph Bunche Award, American Political Science Association (for Civic Ideals).

1998 J. David Greenstone Prize, Politics and History Section, American Political Science Association (co-winner for Civic Ideals).

1998 Allan Sharlin Memorial Award, Social Science History Association (co-winner for Civic Ideals).

1998 “CHOICE Outstanding Academic Title” (for Civic Ideals).

1997 Association of American Publishers' “Government and Political Science Award” for Excellence in Professional/Scholarly Publishing (for Civic Ideals).

1998 Finalist, Pulitzer Prize in History (for Civic Ideals).

1998 Finalist, Boston Book Review Rea Non-Fiction Prize (for Civic Ideals).

1985 Finalist, SCRIBES Book Award (for Citizenship without Consent).

1981 President's Award, New England Political Science Association (best paper by a junior scholar at 1980 NEPSA conference).

1980 Charles Sumner Prize, Harvard University ("Best dissertation from an economic, historical, political, legal, social or ethnic approach").

#### Fellowships:

Carnegie Corporation of New York "Carnegie Scholar," 2001-2003.

Yale Senior Faculty Fellowship, 1989-90.

Rockefeller Foundation Humanities Fellowship, 1982-1983.

American Council of Learned Societies Fellowship, 1982-83 (declined).

Yale Junior Faculty Fellowship, 1982-1983.

#### Other Professional Activities:

Member, Advisory Board, Ruth J. Simmons Center for Race and Justice, Prairie A&M University, 2020-date.

Member, Steering Committee, Educating for American Democracy, 2018-date.

Executive Committee, American Political Science Association Council, 2017-2020.

(President-Elect, American Political Science Association, 2017-2018; President, 2018-2019; Past President, 2019-2020).

Member, American Bar Association Advisory Commission on Public Education, 2015-2016

Co-Chair, APSA Migration and Citizenship Section, 2013-2015.  
Member, APSA Task Force on Public Engagement, 2013-2014.  
Member, Board of Directors, Freedoms Foundation of Valley Forge, 2012 to 2018.  
Co-Chair, Advisory Council, Teachers Institute of Philadelphia, 2005 to 2018.  
Member, Nominating Committee, American Political Science Association, 2011-2012.  
Vice President, American Political Science Association, 2008-2009.  
Member, APSA Council Administrative Committee, 2005-2006, 2008-2009.  
Chair, Search Committee for Editor of Perspectives on Politics, 2008.  
Council Member, APSA, 2005-2006.  
Member, APSA Committee on the Status of Blacks in the Profession, 2004-2007.  
Co-Chair, APSA Task Force on Graduate Education, 2002-2003.  
President, Politics and History Section, American Political Science Association, 2001-2002.  
Division Chair, Constitutional Law and Jurisprudence, American Political Science Association, 2001-2002.  
Series Editor, "Penn Series on Democracy, Citizenship, and Constitutionalism," University of Pennsylvania Press, 2006 to 2017.  
External reviewer for sixteen political science departments, seven times as committee chair.  
Past and Present Editorial Board Service: American Journal of Political Science; Constitutional Studies; International Journal of Citizenship Studies; Journal of Politics; Penn Journal of International Law; Political Research Quarterly; Political Science Quarterly; Political Theory; Polity; Studies in American Political Development.

I have been invited to speak on one or more occasions at some 120 institutions of higher education in Austria, Canada, China, Denmark, England, France, Germany, India, Israel, Japan, Russia, South Korea, Singapore, Spain, and the United States. Roughly a third of those invitations were "named" lectures or conference keynote addresses.