

Avery Goldstein

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## **Education**

Ph.D. University of California, Berkeley, 1985  
(Political Science)  
M.A. University of California, Berkeley, 1978  
(Political Science)  
M.S. University of Pennsylvania, 1976  
(Secondary Education)  
B.A. University of Pennsylvania, 1975  
(Political Science)

## **Employment**

David M. Knott Professor of Global Politics and International Relations  
Department of Political Science, University of Pennsylvania, 2009-

Inaugural Director  
Center for the Study of Contemporary China, University of Pennsylvania,  
2012-2019

Director  
Anspach Institute  
University of Pennsylvania, 2012-2018

Associate Director  
Christopher H. Browne Center for International Politics  
University of Pennsylvania, 2002-

Professor  
Department of Political Science, University of Pennsylvania, 2000-  
Chair, Political Science Department, 2006-2009  
Chair, Political Science Graduate Program, 1995-1997; 2001-2003  
Chair, Political Science Undergraduate Program, 1991-1993

Associate Professor  
Department of Political Science, University of Pennsylvania, 1991-2000

Assistant Professor  
Department of Political Science, University of Pennsylvania, 1985-1991

Director  
Asia Program, Foreign Policy Research Institute  
Philadelphia, Pa., 1997-2002

Director  
Christopher H. Browne Center for International Politics  
University of Pennsylvania, 1997-1999

Social Studies Teacher  
Tilden Middle School Philadelphia Public School District, 1976-1977

### **Areas of Specialization**

International Relations Theory  
Strategic/Security Studies  
Chinese Politics

### **Courses Taught**

International Security  
Chinese Politics  
Seminar in Strategic Studies  
International Relations Theory  
Seminar in Chinese Politics

### **Published Work**

#### **Books**

*To Get Rich is Glorious: Challenges Facing China's Economic Reform and Opening at Forty*, ed., with Jacques deLisle (Washington, DC: Brookings Institution Press, 2019)

*China's Global Engagement: Cooperation, Competition, and Influence in the 21st Century*, ed., with Jacques deLisle (Washington, DC: Brookings Institution Press, 2017).

*The Internet, Social Media, and a Changing China*, ed., with Jacques deLisle, and Guobin Yang (Philadelphia, PA: University of Pennsylvania Press, 2016).

*China's Challenges*, ed., with Jacques deLisle (Philadelphia, PA: University of Pennsylvania Press), 2014.

*The Nexus of Economics, Security, and International Relations in East Asia*, ed., with Edward D. Mansfield (Stanford, CA: Stanford University Press, 2012).

*Rising to the Challenge: China's Grand Strategy and International Security* (Stanford, CA: Stanford University Press, 2005).

*Zhongguo Dazhanlue yu Guoji Anquan*. Wang Jun and Lin Minwang trans., (Beijing: Shehui Kexue Wenxian Chubanshe, 2008). Chinese translation of *Rising to the Challenge* published by Social Sciences Academic Press, Beijing China.

*Deterrence and Security in the 21<sup>st</sup> Century: China, Britain, France and the Enduring Legacy of the Nuclear Revolution* (Stanford, CA: Stanford University Press, 2000)

*From Bandwagon to Balance-of-Power Politics: Structural Constraints and Politics in China, 1949-1978*. (Stanford, CA: Stanford University Press, 1991).

### **Journal Articles**

“China's Grand Strategy under Xi Jinping: Reassurance, Reform, and Resistance,” *International Security*, Vol. 45, No. 1 (Summer 2020), pp. 164-201, doi.org/10.1162/isec\_a\_00383.

“US–China Rivalry in the Twenty-First Century: Déjà Vu and Cold War II,” *China International Strategy Review*, (June 2, 2020), doi.org/10.1007/s42533-020-00036-w.

“China's Grand Strategy: Continuity and Change,” *International and Strategic Studies Report*, no. 51 (July 2017), pp. 1-8 (also in Chinese, “中国大战略：延续与变迁” 国际战略研究院).

“Review Essay: The China Challenge,” *H-Diplo/ISSF Roundtable Reviews*, Vol. XI, No. 4 (October 30, 2016), pp. 4-12, <https://issforum.org/ISSF/PDF/ISSF-Roundtable-9-4.pdf>

“The Evolution of China's Security Challenges and Grand Strategy,” *Journal of International Security Studies*, vol. 33, no. 1 (January/February 2015), pp. 14-31. (also, in Chinese, “中国安全挑战和大战略的演变,” 国际安全研).

- “China's Real and Present Danger: Now Is the Time for Washington to Worry,” *Foreign Affairs*, vol. 92, no. 5 (September/October 2013), pp. 136-44.
- “Zhongmei Mohu Hexin Liyi Chongtu Huo Zeng Fengxian,” *Cankao Xiaoxi*, August 29, 2013, p. 14, Tian Baojian trans. based on *Foreign Affairs* article.
- “First Things First: The Pressing Danger of Crisis Instability in U.S.-China Relations,” *International Security*, vol. 37, no. 4 (Spring 2013), pp. 49-89.
- “When Fighting Ends: Peace & Prosperity in East Asia,” with Edward D. Mansfield, Cover Story, *Global Asia* Vol. 6, No. 2 (Summer 2011), pp. 9-15.  
<[http://www.globalasia.org/V6N2\\_Summer\\_2011/Avery\\_Goldstein&Edward\\_D\\_Mansfield.html](http://www.globalasia.org/V6N2_Summer_2011/Avery_Goldstein&Edward_D_Mansfield.html)>
- “Power Transitions, Institutions, and China’s Rise in East Asia: Theoretical Expectations and Evidence,” *The Journal of Strategic Studies* Vol. 30, No. 4-5 (August–October 2007), pp. 639-682.
- “The Diplomatic Face of China’s Grand Strategy: A Rising Power’s Emerging Choice,” *The China Quarterly*, no. 168 (December 2001), pp. 835-864.
- “U.S. China Policy: Vexing Challenges and Choices,” *Education About Asia*, 6:2 (Fall 2001), pp. 8-11.
- “The Political Implications of a Slowdown,” *Orbis* 43:2 (Spring 1999), pp. 203-221.
- “China in 1997: A Year of Transitions,” *Asian Survey* 38:1 (January 1998), pp. 34-52.
- “Great Expectations: Interpreting China’s Arrival,” *International Security* 22:3 (Winter 1997/98), pp. 36-73. Reprinted as the lead chapter in Michael E. Brown, Owen R. Cote, Jr., Sean M. Lynn-Jones, and Steven E. Miller, *The Rise of China* (Cambridge: Mass: MIT Press, 2000), pp. 3-40.
- “China in 1996: Achievement, Assertiveness, Anxiety,” *Asian Survey* 37:1 (January 1997), pp. 29-42.
- “Discounting the Free Ride: Alliances and Security in the Postwar World.” *International Organization* 49:1 (Winter 1995), pp. 39-71.
- “Trends in the Study of Political Elites and Institutions in the People's Republic of China: The State of the Field.” *China Quarterly* 139 (September 1994), pp. 714-730.

- “Understanding Nuclear Proliferation: Theoretical Explanation and China's National Experience.” *Security Studies* 2:3-4 (Spring/Summer 1993). Reprinted in Zachary S. Davis and Benjamin Frankel, eds., *The Proliferation Puzzle: Why Nuclear Weapons Spread (And What Results)* (London: Frank Cass, 1993), pp. 213-255.
- “Robust and Affordable Security: Some Lessons from the Second-ranking Powers During the Cold War.” *Journal of Strategic Studies* 15:4 (December 1992), pp. 476-527.
- “Explaining Politics in the People’s Republic of China: The Structural Alternative.” *Comparative Politics* 22:3 (April 1990), pp. 301-322.
- “The Domain of Inquiry in Political Science: General Lessons from the Study of China.” *Polity* 21:3 (Spring 1989), pp. 517-537.
- Review, *The Political Logic of Economic Reform in China*. By Susan L. Shirk, *American Political Science Review* 88:3 (September 1994), pp. 790-791.
- Review, *Political Participation in Rural China*. By John Burns, *American Political Science Review* 83:3 (September 1989), pp. 1048-1050.
- Review, *Understanding Communist China*. By Tai-chun Kuo and Ramon Myers, *Journal of Asian Studies* 47 (February 1988), pp. 120-122.

### **Book Chapters**

- “The Present as Prologue: The Gloomy Outlook for US–China Relations,” in Robert S. Ross, Øystein Tunsjø, and Dong Wang, eds., *US-China Foreign Relations: Power Transition and Its Implications for Europe and Asia* (United Kingdom: Routledge, 2021, forthcoming).
- “China’s Economic Reform and Opening at 40: Past Accomplishments and Emerging Challenges,” (with Jacques deLisle) in Jacques deLisle and Avery Goldstein, eds. *To Get Rich is Glorious: Challenges Facing China’s Economic Reform and Opening at Forty*, (Washington, DC: Brookings Institution Press, 2019), pp. 1-26.
- “The End of the Beginning: China and the Consolidation of the Nuclear Revolution,” in Michael D. Gordin and G. John Ikenberry, eds., *The Age of Hiroshima*, (Princeton, NJ: Princeton University Press, 2020), pp. 221-242.
- “A Rising China’s Growing Presence: The Challenges of Global Engagement” in

Jacques deLisle and Avery Goldstein, eds., *China's Global Engagement: Cooperation, Competition, and Influence in the 21st Century* (Washington, DC: Brookings Institution Press, 2017), pp. 1-33.

“Introduction: The Internet, Social Media, and a Changing China,” (with Jacques deLisle, and Guobin Yang) in, Jacques deLisle, Avery Goldstein, and Guobin Yang, eds. *The Internet, Social Media, and a Changing China* (Philadelphia, PA: University of Pennsylvania Press, 2016), pp. 1-27.

“China's Challenges: The Road Ahead,” (with Jacques deLisle), in Jacques deLisle, and Avery Goldstein, eds., *China's Challenges* (Philadelphia, PA: University of Pennsylvania Press, 2014), pp. 1-24.

“The Political Economy of Regional Security in East Asia,” (with Edward D. Mansfield), in Avery Goldstein and Edward D. Mansfield, eds., *The Nexus of Economics, Security, and International Relations in East Asia* (Stanford, CA: Stanford University Press, 2012), pp. 1-38.

“U.S.-China Interactions in Asia,” in David Shambaugh, ed., *Tangled Titans: The United States and China* (Lanham MD: Rowman & Littlefield Publishers, 2012), pp. 263-291.

Goldstein, Avery. "China's Foreign Policy and the Leadership Transition: Prospects for Change under the 'Fifth Generation'," in Gilbert Rozman, ed., *China's Foreign Policy: Who Makes It and How Is It Made?* Seoul: The Asan Institute for Policy Studies, 2012, pp. 37-62.

“Parsing China's Rise: International Circumstances and National Attributes,” Robert S. Ross and Zhu Feng, eds., *China's Ascent: Power, Security, and the Future of International Politics* (Ithaca: Cornell University Press, 2008), pp. 55-86.

“Zhongguo de Jueqi ji Guoji Shehui de Fanying: Guoji Huanjing he Minzu Texing,” Zhu Feng yu Luobaite Luosi, zhubian, *Zhongguo Jueqi: Lilun yu Zhengce de Shijiao* (Shanghai: Renmin Chubanshe, 2008), pp. 67-108. Translation of “Parsing China's Rise.”

“Power Transitions, Institutions, and China's Rise in East Asia: Theoretical Expectations and Evidence,” G. John Ikenberry and Chung-In Moon, eds., *The United States and Northeast Asia: Debates, Issues, and New Order* (New York: Rowman and Littlefield, 2008), pp. 39-78; different version of article in *Journal of Strategic Studies* (August-October 2007).

“Across the Yalu: China's Interests and the Korean Peninsula in a Changing World,” in Alastair Iain Johnston and Robert S. Ross, eds., *New Directions in the Study of China's Foreign Policy* (Stanford: Stanford

University Press, 2006), pp. 131-161.

“An Emerging China's Emerging Grand Strategy: A Neo-Bismarckian Turn?” in G. John Ikenberry and Michael Mastanduno, eds., *International Relations Theory and the Asia-Pacific* (New York: Columbia University Press, 2003), pp. 57-106.

“Balance-of-Power Politics: Consequences for Asian Security Order,” in Muthiah Alagappa, ed., *Asian Security Order: Instrumental and Normative Features* (Stanford: Stanford University Press, 2003), pp.171-209.

“The Future of U.S.-China Relations and the Korean Peninsula,” in Byung Chul Koh, ed., *The Korean Peninsula in Transition: The Summit and Its Aftermath*, (Seoul, Korea: Kyungnam University Press, 2002), pp. 192-209; reprinted in *Asian Perspective*, Vol. 26, No. 3 (2002) pp. 111-129.

“Structural Realism and China’s Foreign Policy: Much (but never all) of the Story,” in Andrew Hanami, ed., *Perspectives on Structural Realism* (New York: Palgrave Macmillan, 2003), pp. 119-154.

## Other Media

Interview, China’s Xinhua News Agency, *ECNS*, “Unfair to Blame China for U.S. Job Losses: Leading U.S. Expert on China,” April 5, 2017, <http://www.ecns.cn/voices/2017/04-05/252033.shtml>

Interview: President Trump’s Strategy for Asia Expert Perspectives, published in *Georgetown Journal of Asian Affairs* Vol. 3, No. 2 (Spring 2017), <https://asianstudies.georgetown.edu/journal/current-issue>.

Interview by Yang Shilong and Zhou Xiaozheng, “特朗普为什么应该与中国合作？基辛格等 “中国通” 给出了这些理由” (“Why Should Trump Cooperate with China? Kissinger and Other China Watchers Give These Reasons”), *Cankao Xiaoxi*, February 9, 2017, <http://ihl.cankaoxiaoxi.com/2017/0209/1673351.shtml>

"Will the Yuan's Fall Force China to Re-energize Reforms?" Aug 17, 2015, Knowledge@Wharton Radio, Sirius XM

“US Policy in Asia-Pacific Region Won’t Turn on a Dime,” *Global Times*, October 22, 2013, <http://www.globaltimes.cn/content/819515.shtml#.Umq8ifmshcY>

“Prolonging East Asia’s Surprising Peace—Can It Be Managed? *Foreign Policy Research Institute, E-Notes* (August 2009); FPRI E-Notes are emailed and

faxed globally, posted on various international security websites including H-diplo (diplomatic history server); The Nautilus Institute; Taiwan Security Research; New York University's Global Beat.

- “China's Grand Strategy and U.S. Foreign Policy,” *Foreign Policy Research Institute, E-Notes* (September 27, 2005).
- “Factors Driving China’s Global Strategy and U.S. Policy Responses,” Testimony, Hearing on China’s Growing Global Influence: Objectives and Strategies, *US-China Economic and Security Review Commission*, July 21, 2005, 124 Dirksen Senate Office Building, Washington, DC. ([http://www.uscc.gov/hearings/2005hearings/written\\_testimonies/05\\_07\\_21\\_22wrts/goldstein\\_avery\\_wrts.htm](http://www.uscc.gov/hearings/2005hearings/written_testimonies/05_07_21_22wrts/goldstein_avery_wrts.htm)).
- “The North Korean Nuclear Challenge and American Interests: Getting the Priorities Right,” *Foreign Policy Research Institute, E-Notes* (October 31, 2003).
- “September 11, the Shanghai Summit, and the Shift in U.S. China Policy,” *Foreign Policy Research Institute, E-Notes* (November 2001).
- “U.S. Policies Toward Asia,” *Foreign Policy Research Institute, E-Notes* (April 2001).
- “U.S. Policy Toward China: Try for The Best, Prepare for The Worst,” *Foreign Policy Research Institute, E-Notes* (April 2001).
- “Why Nukes Still Trump: Deterrence and Security in the 21st Century,” *Foreign Policy Research Institute, E-Notes* (November 2000).
- “Fallout from the Summit? Challenging Consequences of Korean Détente,” *Foreign Policy Research Institute, E-Notes* (June 2000).
- “The Hong Kong Experiment: A Peek into the Laboratory,” *Foreign Policy Research Institute, E-Notes* (January 2000).
- “The Taiwan Relations Act: Durable Agreement or Fraying Framework?” *Foreign Policy Research Institute, E-Notes* (Fall 1999)
- “How to Deal with Beijing,” *Foreign Policy Research Institute, E-Notes* (March 1, 1999);
- “The Year of Living Denglessly: China Under Jiang,” *Foreign Policy Research Institute, E-Notes* (June 11, 1998).
- “Scared Senseless: The South Asian Nuclear Tests,” *Foreign Policy Research*



*Institute, E-Notes* (June 5, 1998)

“Second Thoughts About China’s Military Power,” *Foreign Policy Research Institute, Wire*, 6:4 (April 1998).

“Great Expectations: Interpreting China’s Arrival,” Christopher H. Browne Center for International Politics, Working Paper Series, 96-2, pp. 1-54 (available on-line through Columbia International Affairs Online).

“New Policy on China: Usefully Incoherent.” *Christian Science Monitor* (February 19, 1992). (p. 18).

### **Selected Presentations**

“China’s Grand Strategy under Xi Jinping: The Challenging Quest for Rejuvenation,” Keynote Address, Duke-UNC China Leadership Summit, February 22, 2020.

“Getting China Right: Perspectives on the Challenge of China in the World,” Getting China Right Conference, Duke University, Durham, NC, December 6, 2019.

“The Shifting World Order: China,” SAS Board of Overseers, University of Pennsylvania, October 25, 2019.

“US-China Relations in the Current Era,” Annual Fall Lecture, Penn Association for Senior and Emeritus Faculty, University of Pennsylvania, October 10, 2019.

“US-China Rivalry in the 21<sup>st</sup> Century: What’s New? What’s True Déjà vu and Cold War II,” U.S.-China University Think Tank Dialogue, University of California, San Diego, October 3-15, 2019.

“The Present as Prologue: The Gloomy Outlook for US-China Relations,” Workshop on China’s Foreign Policy and China-US Relations in a New Era, Peking University, June 13-14, 2019.

“China’s Grand Strategy under Xi Jinping and U.S.-China Relations,” The Clement and Carrie Chair Lecture, Tamkang University, May 22, 2019.

“Paving the Global Road-- International Relations,” Penn Wharton China Summit, Irvine Auditorium, University of Pennsylvania, April 20, 2019.

“China’s Rise and the Fate of US-China Relations,” Keynote Speech to the

Vanderbilt China Forum, Vanderbilt University, March 30-31, 2019.

The World Today Presents: “China on the World Stage: Xi Jinping and the Future of China's Foreign Policy” featuring Avery Goldstein and Trudy Rubin, Tuesday, Perry World House, Global Policy Lab January 22, 2019.

“What Happened in China and with US China Policy?” US-China Conference: Did the US Get China Wrong? Georgetown University, November 13, 2018.

“China’s Grand Strategy and Its Implications for International Security”  
School of International Studies, Peking University, Beijing, China October 15, 2018.

Zao Yuan Forum, University of International Relations, Beijing, China, October 12, 2018.

2018 Annual Meeting of the American Political Science Association, Boston, Massachusetts, September 1, 2018.

“Security Community: Theory and Practice” and  
“Private Roundtable on World Security: Change in the Current International Security Situation, Strategy, and US-China Relations”  
The 7th World Peace Forum- Constructing a Security Community: Equality, Equity, and Justice, Beijing, China, July 14-15, 2018.

“Are U.S-China Relations Entering a New Era?” Penn Wharton China Summit, Annenberg Center, University of Pennsylvania, April 14, 2018. Moderator for panel with former Australian Prime Minister Kevin Rudd and former Chinese Ambassador Fu Ying.

“Impact of the 19<sup>th</sup> Party Congress: Foreign Policy,” NYU Center of U.S.-China Relations, New York University, New York City, NY, December 8, 2017.

“China’s Grand Strategy Under Xi Jinping: Origins, Content, and Implications,” Confucius Institute, University at Albany, Albany, NY, November 3, 2017.

“The End of the Beginning: China and the Consolidation of the Nuclear Revolution,” Conference on Nuclear Legacies, Hiroshima, Japan, August 3-4, 2017.

“China, the US, and Change in the International Order.”  
The 6th World Peace Forum- On Challenges to International Security: Joint Efforts, Responsibility, and Reform, Beijing, China, June 24-25, 2017.

“The Trump Administration’s Diplomacy, Security Strategy, and China Policy—  
Can Sino-American Relations Substantively Improve?”

Yangzi River International Forum, Academic Forum on Sino-U.S.  
Relations in 2017, Jiangsu Provincial People’s Congress Standing  
Committee, Nanjing, China June 21, 2017.

“China’s Grand Strategies: Change and Continuity.”

Peking University Institute of International Strategic Studies, Beijing,  
China, June 23, 2017.

The China Center for South China Sea Studies Nanjing University,  
Nanjing, China, June 21, 2017.

School of Public Affairs, Zhejiang University, Hangzhou, China, June 16,  
2017.

“China’s Evolving Grand Strategy and Implications for the U.S.”

Lecture Series on New Model of Sino-U.S. Major Country Relationship,  
Global Innovation Center for New Model of Sino-U.S. Major  
Country Relationship, Fudan University, Shanghai, China, June 15,  
2017.

“Regional Responses to the Pivot and China’s Rise”

Sino-U.S. Relations Group Engagement, Fletcher School of Law and  
Diplomacy, Tufts University, Medford, MA, April 7, 2017.

“China’s Grand Strategy under Xi Jinping: Origins, Content, and Implications.”

School of Foreign Service Asian Studies Program, Georgetown  
University, Washington, D.C., March 28, 2017.

“China’s Security Concerns: The Enduring Link between External and Internal  
Challenges.”

Lecture Series: Kenneth G. Lieberthal and Richard H. Rogel Center for  
Chinese Studies, University of Michigan, Ann Arbor, Michigan,  
December 6, 2016.

Asia in the 21st Century Lecture Series: Texas A&M University, College  
Station, TX, October 20, 2016.

Contemporary China Lecture Series: Georgetown University, Washington,  
DC., February 18, 2016.

Princeton-Harvard China and the World Program, Princeton University,  
Princeton NJ, December 9, 2015.

“The End of the Beginning: China and the Consolidation of the Nuclear  
Revolution.”

Conference on Conflict and Cooperation in the Nuclear Age at Yale  
University, New Haven, CT, September 23-24, 2016.

“Think Tank Research and the China-US Relationship.”

2016 Xiangshan Global Think Tank Forum, Pangoal Institution,  
Xiangshan Conference Center, Beijing, China, June 5, 2016.

“Potential Challenges Facing the Global Order.”

Keynote Speaker, China-U.S. University and Think Tank Forum: A  
Changing Global and Political Order: Perspectives for China-US  
Cooperation, Jointly Hosted by Peking University and Stanford  
University, Stanford Center at Peking University, Beijing China,  
June 6-7, 2016.

“Prospects and Recommendations.”

Roundtable presentation, Sino-U.S. University Think Tank Dialogue on  
Domestic Politics and Sino-U.S. Relations, Co-organized by  
Center for American Studies, Fudan University 21st Century China  
Program and UC-San Diego, Fudan University, Shanghai, China,  
June 24-25, 2016.

“Territorial Disputes: Thinking about Problems and Solutions.”

Conference on Security Diplomacy: Territorial Disputes and Governance,  
Yulong International Hotel, Beijing, China, July 1-2, 2016.

“China and Prioritizing Threats of Powers.”

Tobin Project-- Reassessing Threat Assessment, Sofitel, Washington, DC.,  
May 20, 2016.

“The Utility of Deterrence in Practice.”

Workshop on A New Look at the 21st Century Cross Domain Deterrence  
Initiative, Elliott School of International Affairs, George  
Washington University, Washington, DC., May 19, 2016.

“Economic and Geopolitical Fallout of Slowing Chinese Growth.”

Conference on International Geopolitical Spillovers from Low Chinese  
Economic Growth, Maurice R. Greenberg Center for Geoeconomic  
Studies, Asia Studies, Council on Foreign Relations, New York,  
New York, November 17, 2015.

“The End of the Beginning: China and the Consolidation of the Nuclear  
Revolution.”

Conference on Nuclear Legacies: A Global Look at the 70th Anniversary  
of the Hiroshima Bombing, Princeton University, Princeton, New  
Jersey, October 1-2, 2015.

“US-China Relations: Yesterday, Today and Tomorrow.”

Penn Club of Seattle, Seattle, Washington, May 20, 2015.

“Choices and Consequences in US-China Relations: Building a New Type Great Power Relationship.”

Launch Event, Penn Wharton China Center, Beijing, China, March 10, 2015.

“Why Asia? Why Now?”

Inaugural Lecture, Distinguished Visitors Program, Bi-College (Bryn Mawr and Haverford) Global Asia Concentration, Haverford College, Haverford, Pennsylvania, February 25, 2015.

“Crisis Instability and the Risk of US-China Military Conflict.”

Conference on Avoiding a Nuclear Sarajevo: Lessons from World War I for Crisis Management in the 21<sup>st</sup> Century, Matthew B. Ridgway Center for International Security Studies, University of Pittsburgh, Pittsburgh, Pennsylvania, September 25, 2014

“The Evolution of China’s Security Challenges and Grand Strategy.”

Presentation, Conference on China’s Overall National Security Outlook: Theoretical Foundation and Practice, Organized by *Journal of International Security Studies*, University of International Relations, Xiangshan Hotel, Beijing, China, June 21, 2014.

“Managing Crises and Avoiding Escalation.”

Eighth U.S.-China Strategic Dialogue: Common Strategic Interests and Building Strategic Stability, Co-hosted by the Naval Postgraduate School; the Pacific Forum CSIS; and the China Arms Control and Disarmament Association, JW Marriott Ihilani Ko Olina, Oahu, Hawaii, June 8-10, 2014.

“China’s International Relations: Past, Present, and Future.”

Presentation, UPENN China Forum, Philadelphia, PA, October 19, 2013.

“Is ‘Better’ Better than ‘Newer?’ Choices and Consequences for Dispute Resolution in Asia”

Shanghai Forum 2013-- Asia’s Wisdom: Seeking Harmonious Development in Diversity, sub-forum on Dispute Resolution in Asia: New Thinking, and New Approaches, Fudan University, Shanghai, China, May 26-28, 2013.

“First Things First: The Present Dangers of US-China Crises.”

Peking University (May 17, 2013)  
Tsinghua University (May 16, 2013)  
Renmin University (May 20, 2013)

Fudan University (May 28, 2013)  
Shanghai Jiaotong University (May 29, 2013)  
Yale University (January 23, 2013)  
MIT (September 12, 2012)  
Harvard University (April 20, 2012)  
George Washington University (September 26, 2011)  
Cornell University (September 22, 2011)

“The Stability-Instability Paradox.”

Seventh U.S.-China Strategic Dialogue: US-China Strategic Dynamics through Multiple Lenses, Co-hosted by the Naval Postgraduate School; the Pacific Forum CSIS; and the China Arms Control and Disarmament Association, Royal Lahaina Hotel, Maui, Hawaii, June 7-10, 2012.

“Obstacles and Challenges to Cooperation in East Asia.”

Shanghai Forum 2012-- Economic Globalization and the Choice of Asia: Strategies for 2011-2020, sub-forum on Creating a New East Asian Regional Order, Fudan University, Shanghai, China, May 26-28, 2012.

“U.S.-China Interactions in Asia.”

Conference on the New Context of U.S.-China Relations, Elliott School of International Affairs, George Washington University, Washington D.C., December 15-16, 2011.

“China’s Growing Global Presence: Challenges and Choices.”

Conference on China’s Global Behavior Under the Fifth Generation, Bureau of Intelligence and Research U.S. Department of State and the National Intelligence Council, Airlie House, Warrenton, VA, November 8, 2011.

“Crisis Instability and US-China Relations: The Present (If Not Clear) Danger.”

Annual Meeting of the American Political Science Association, Seattle, WA, September 1-4, 2011.

“Tough Times in US-China Relations: Small Detour (绕行) or Turning Point (转折点)?”

“SIS Perspectives”, School of International Studies Distinguished Speaker Series, Peking University, Beijing, China, May 27, 2011.

“China’s Foreign Policy and the Leadership Transition: Prospects for Change under the ‘Fifth Generation’.”

Conference on China's Foreign Policy: Who Makes it, and How is it Made? The Asan Institute for Policy Studies, Seoul, Korea, May

19-20, 2011.

- “First Things First: The Present Dangers of US-China Crises.”  
Conference on Signaling and Perceptions: Theoretical Debates and Historical Perspectives, Woodrow Wilson School of Public and International Affairs, Princeton University, Center for International Security Studies, April 28-29, 2011.
- “Implications of China’s Rising Maritime Power.”  
Conference on Sea Change-- Conflicting Claims, Disparate Agendas and U.S.-China Maritime Security Relations, Reserve Officers Association, Washington DC: May 13, 2010.
- “China’s Grand Strategy: Origins, Content, Implications.”  
Workshop on Grand Strategy and the New World Order, The Robert Schuman Center for Advanced Studies and the EUI Transatlantic Program, European University Institute, Florence, Italy: November 13, 2009.
- “Why Americans Worry About China’s Rise?”  
Faculty Colloquium, Center for American Studies, Fudan University, Shanghai, China: September 15, 2009.
- “China and the US on the eve of President Hu's Visit.”  
Interview, *Radio Times*, WHYY (NPR) Radio, April 19, 2006, 10-11am, Philadelphia PA.
- “Factors Driving China’s Global Strategy and U.S. Policy Responses.”  
([http://www.uscc.gov/hearings/2005hearings/written\\_testimonies/05\\_07\\_21\\_22wrts/goldstein\\_avery\\_wrts.htm](http://www.uscc.gov/hearings/2005hearings/written_testimonies/05_07_21_22wrts/goldstein_avery_wrts.htm)).  
Testimony, hearing on China’s Growing Global Influence: Objectives and Strategies, US-China Economic and Security Review Commission, July 21, 2005, 124 Dirksen Senate Office Building, Washington, DC.
- “Changes in the Bush Administration’s China Policy and New Developments in Sino-American Relations.”  
Institute for International Strategic Studies, Central Party School of the Chinese Communist Party, Beijing, China, June 24, 2005.
- “Western International Relations Theory and Debates about China’s Rise.”  
Institute for the Study of World Economics and Politics, Chinese Academy of Social Sciences, Beijing, China, June 16, 2005.
- “US and Chinese Nuclear Strategy- What’s Feasible? What’s Desirable? What’s Likely?”

Dialogue Series-- US-China Nuclear Relations, Project on American Security Strategy at the Center for American Studies and Department of International Politics, Fudan University, Shanghai, China, December 30, 2004.

“Conflict and Cooperation in US-China Relations.”

Taming the Crouching Tiger: Is US-China Strategic Cooperation Possible in the Absence of Mutual Trust? Woodrow Wilson International Center for Scholars, Washington, D.C., June 5, 2002;

Capital Breakfast Seminar (for invited members of the United States Congress and their staff), Rayburn House Office Building, June 6, 2002.

“China at the Dawn of the Post-Deng Xiaoping Era.”

Board of Overseers Dinner, School of Arts and Sciences, University of Pennsylvania, Philadelphia, PA: March 9, 1997.

“The Death of Deng Xiaoping.”

Interview, WPVI TV, Philadelphia, PA, February 20, 23, 1997.

“Security and Deterrence in a Changing World.”

In Beijing, China, April-May 1991:

Institute of American Studies, Chinese Academy of Social Sciences

National Defense University

Academy of Military Sciences

Beijing Institute for International Strategic Studies

China Institute of International Studies

China Institute of Contemporary International Relations

China World Watch Institute

### **Professional, Departmental, and University Service**

Chair, Political Science Department, 2006-2009

Chair, Political Science Graduate Program, 1995-1997; 2001-2003

Chair, Political Science Undergraduate Program, 1991-1993

Member, Executive Committee, Center for East Asian Studies, 2008-2010

Member, Graduate Group in East Asian Languages and Civilizations, 2003-

Member, School of Arts and Sciences Personnel Committee, 2002-2004

Member, Planning and Priorities Committee, School of Arts and Sciences, 2004-2007

Research Associate, Center for US-China Policy Studies, San Francisco, CA, 2006-



Senior Fellow, Foreign Policy Research Institute, Philadelphia, PA, 2002-  
International Board Member, Studies in Asian Security, Book series published by  
Stanford University Press  
Editorial Board Member, *Journal of Contemporary China*, *Asian Security*, *Orbis*,  
*Journal of Chinese Political Science*  
Editorial Board, Security Studies Book Series of the Social Science Academic  
Press (China)  
International Board Member, Studies in Asian Security, Book series published by  
Stanford University Press  
Reviewer for the following: Cornell University Press, *International Security*,  
*International Organization*, *World Politics*, *International Studies*  
*Quarterly*, *China Quarterly*, *Security Studies*, *Comparative Political*  
*Studies*, *Asian Survey*, *International Relations of the Asia-Pacific*, *Journal*  
*of Contemporary China*, Stanford University Press.  
Member, Mellon and School of Arts and Sciences Dissertation Fellowships  
Committee, 1991-1993  
Chairman, Committee of Examiners for the Graduate Record Examination  
Subject Test in Political Science, Educational Testing Service, 1992-1996  
(Committee Member, 1988-96)  
Member, Graduate Group in International Studies, 1988-  
Member, Political Science Graduate Executive Committee, 1987-1990  
Member, Provost's Committee on Contacts with the People's Republic of China,  
1986-  
Member, University East Asian Studies Committee, 1985-  
Adviser, Undergraduate Political Science Majors concentrating on International  
Politics, 1985-  
Member, Political Science Undergraduate Executive Committee, 1985-1987  
Member, Political Science Personnel Committee, 1985-1986, 1990-1991, 1995-  
1996, 1996-1998  
Member, Political Science Lauder Chair Search Committee, 1992-1993, 1997-98  
Member, International Relations Graduate Group, 1985-1986  
Rapporteur, Conference on the State of Exchanges Between the People's Republic  
of China and the United States, East-West Center, Honolulu, Hawaii,  
February 1985.

### **Fellowships, Honors, and Awards**

Academic Committee, The Pangoal Institution, (Policy research Think Tank),  
Beijing China

Member of the National Committee on United States-China Relations, 2012-  
Christian R. and Mary F. Lindback Award for Distinguished Teaching, University  
of Pennsylvania, April 2008.

Smith Richardson Foundation Grant, International Security and Foreign Policy  
Program (1999-2000), Book Project: "China's Emerging Grand Strategy."  
University Research Foundation Grant, University of Pennsylvania (1989, 1998)  
National Resource Center, U.S. Department of Education Faculty Research Grant,  
Center for East Asian Studies, University of Pennsylvania (1998)  
Faculty Research Grant, University of Pennsylvania (Summer 1986)  
Peter Odegard Memorial Prize to the Outstanding Graduate Student in Political  
Science (1982)  
Foreign Languages and Area Studies Fellowship, Chinese (1983-1984; 1982-83;  
1980-81)  
Chancellor's Fellowship, University of California, Berkeley (1982-1983)  
Phi Beta Kappa (1976)  
Graduated with B.A. Summa Cum Laude (1975)

### **Language Ability**

Chinese (Mandarin): reading, speaking

Participant in the Advanced Chinese Language Training Program at Peking  
Normal University, Beijing, People's Republic of China (1981).